



This action is funded by the European Union

ANNEX 10

of the Commission implementing Decision on the Annual Action Programme 2015
of the DCI Pan-African Programme

Action Document for "Support Measures – Annual Action Plan 2"

1. Title/basic act/ CRIS number	Support measures - Annual Action Plan 2 CRIS number: DCI/PANAF/038-363 financed under the Development Cooperation Instrument			
2. Zone benefiting from the action/ location	Pan-African The action shall be carried out at the following location: Africa			
3. Programming document	Pan African Programme Multiannual indicative programme 2014-2017			
4. Sector of concentration/ thematic area	Strategic Area 5 : Global and emerging issues			
5. Amounts concerned	Total estimated cost: EUR 500 000 Total amount of EU budget contribution for 2015: EUR 500 000			
6. Aid modality and implementation modality	Project Modality Direct management - Procurement of services			
7. DAC code(s)	43010 – Multi-sectoral aid			
8. Markers (from CRIS DAC form)	General policy objective	Not targeted	Significant objective	Main objective
	Participation development/good governance	X	<input type="checkbox"/>	<input type="checkbox"/>
	Aid to environment	X	<input type="checkbox"/>	<input type="checkbox"/>
	Gender equality (including Women In Development)	X	<input type="checkbox"/>	<input type="checkbox"/>
	Trade Development	X	<input type="checkbox"/>	<input type="checkbox"/>
	Reproductive, Maternal, New born and child health	X	<input type="checkbox"/>	<input type="checkbox"/>
	RIO Convention markers	Not targeted	Significant objective	Main objective
	Biological diversity	X	<input type="checkbox"/>	<input type="checkbox"/>
	Combat desertification	X	<input type="checkbox"/>	<input type="checkbox"/>
	Climate change mitigation	X	<input type="checkbox"/>	<input type="checkbox"/>
	Climate change adaptation	X	<input type="checkbox"/>	<input type="checkbox"/>
9. Global Public Goods and Challenges (GPGC) thematic flagships	Not applicable			

SUMMARY

This action is foreseen under Article 10 of the DCI Regulation (EU) 233/2014 as well as under the Multi-annual Indicative Programme of the Pan-African Programme (PanAf) for the period 2014-2017 (Strategic Area 5 – Global and Cross-cutting Issues, component 4 – Support the implementation of the Joint Africa European Union (EU) Strategy).

1 CONTEXT

1.1 Sector/Country/Regional context/Thematic area

The Pan-African Programme (PanAf) is in line with the conclusions of the last EU-Africa Summit (Brussels – April 2014) and with the overall Joint Africa EU Strategy (JAES) adopted at the Lisbon Summit (2007), where Africa and the EU established the Partnership at the highest political level. The PanAf is one of the instruments that will support the JAES and the first EU programme treating Africa as one.

The PanAf is working within the frame of this continental/trans-regional strategy. It will not replace but complement, where relevant, through a continental or trans-regional approach, the other EU instruments and programmes that address the priority areas of the EU development policy in the African continent. These are the 11th European Development Fund (EDF) covering sub-Saharan Africa through the National Indicative Programmes (NIPs), the Regional Indicative Programmes (RIPs) and Intra-African, Caribbean and Pacific (ACP) Programme; the European Neighbourhood Instrument (ENI) covering North African countries, DCI geographic programmes; thematic programme Global Public Goods and Challenges (GPGCs) covering global issues concerning Africa; and the support to Civil Society Organisations and Local Authorities (CSO-LA); and the European Instrument for Democracy and Human Rights (EIDHR).

Through the PanAf, the EU operationalises Policy Coherence for Development (PCD) and puts into practice the principle of building synergies between EU policies and development cooperation. This is being done by widening the EU cooperation offer and by involving all concerned European services in the programming and implementation processes.

The PanAf supports the JAES roadmap in its five priority areas: 1) Peace and Security; 2) Democracy, Good Governance and Human Rights; 3) Human Development; 4) Sustainable and Inclusive Development and Growth and Continental Integration and 5) Global and emerging issues.

1.1.1 Public Policy Assessment and EU Policy Framework

Not applicable.

1.1.2 Stakeholder analysis

The present action is designed inter-alia, to provide the EU Delegation to the African Union (AU) with the administrative support needed to manage operations financed under the Pan African Programme or other instruments which are devolved to the Delegation. The end beneficiaries are citizens of both the European Union and the African Union for whom the PanAf is designed.

The main stakeholders are those applying these Support Measures, in particular, participants to studies, training courses, workshops and conferences; stakeholders involved in the identification and formulation phases, consultants, etc.

1.1.3. Priority areas for support/problem analysis

The purpose of this action is to cover costs linked to the identification, formulation, implementation and follow up of devolved programmes to the EU Delegation to the African Union. The Support Measures cover notably the needs in the EU-AU cooperation for technical assistance and studies, especially concerning preparation and formulation of future actions, as well as for training activities, in cases where no global commitment is available. They also provide funding for evaluations, audits, institutional assessments, etc, where these cannot be covered by the programme or project concerned.

Under the Support Measures, European Commission financing may cover:

- Studies and technical assistance;
- Conferences, seminars, workshops, training sessions, and study visits;
- Visibility, information and publications;
- Audits;
- Evaluation;
- Assessments.

1.2 Other areas of assessment

Not applicable.

2 RISKS AND ASSUMPTIONS

The African continental integration agenda remains an important priority area under the JAES. Any step back would be perceived by both partners as a risky element to move forward in the joint strategy.

The Support Measures devolved to the EU Delegation to the AU represent an implementation modality which aims to support a swift implementation of the African continental integration agenda.

3 LESSONS LEARNT, COMPLEMENTARITY AND CROSS-CUTTING ISSUES

3.1 Lessons learnt

In the framework of the devolution of programmes to the EU Delegation to the African Union, a Technical Cooperation Facility was managed directly by the Delegation and has been a key instrument for a smooth management of the actions managed by the Delegation.

3.2 Complementarity, synergy and donor coordination

Technical and study facilities, such as the Joint Africa EU Strategy Mechanism II (JAES SM II) and other similar initiatives set up at geographical level, existing arrangements covering monitoring and evaluation (ROM contracts, sectorial evaluation studies, etc.) as well as training provision (aid delivery methods contract) will be complementary to Support Measures. Close attention will be paid to activities implemented under these facilities when deciding on actions to be implemented under the Support Measures to ensure complementarity and avoid any risk of duplication.

3.3 Cross-cutting issues

Cross cutting issues (human rights, gender, good governance, climate change, etc) have been considered in the programming of actions devolved to the EU Delegation to the African Union. All measures funded through this action will contribute to analysing and addressing cross-cutting issues as defined in the PanAf programme.

4 DESCRIPTION OF THE ACTION

4.1 Objectives/results

The overall objective is to contribute to the implementation of the Pan-African Programme by providing support to actions managed by the EU Delegation to the African Union.

The project purpose is to cover costs linked with the identification, formulation, implementation and follow up of actions devolved to the EU Delegation to the African Union, which are not necessarily covered by others sources.

4.2 Main activities

The main activities supported by this action will be the following (not exhaustive list):

- *Studies and technical assistance*: external technical and administrative expertise necessary at any stage of the project cycle. The expertise may cover, *inter alia*, programme/project related studies, identification and formulation, preparation of terms of reference, evaluation of tenders and advice on project implementation.
- *Conferences, seminars, workshops, training sessions, and study visits* : organisation and financing of conferences, seminars, workshops and training sessions, and study tours, including all related travel, accommodation, conference material, and information costs necessary for ensuring effective events.
- *Visibility, information and publications* : visibility activities covering, *inter alia*, publications, website and database management, information activities, media relations and media monitoring, and the production of audio-visual material.
- *Audits*
- *Evaluations*
- *Assessments*

4.3 Intervention logic

The action will be managed by the European Union Delegation to the African Union managing services contracts in line with the needs analysis and the complementarity with other actions.

5 IMPLEMENTATION

5.1 Financing agreement

In order to implement this action, it is not foreseen to conclude a financing agreement with the partner country, referred to in Article 184(2)(b) of Regulation (EU, Euratom) No 966/2012.

5.2 Indicative implementation period

The indicative operational implementation period of this action, during which the activities described in section 4.2 will be carried out and the corresponding contracts and agreements implemented, is 24 months from the date of adoption by the Commission of this Action Document.

Extensions of the implementation period may be agreed by the Commission's authorising officer responsible by amending this decision and the relevant contracts and agreements; such amendments to this decision constitute technical amendments in the sense of point (i) of Article 2(3)(c) of Regulation (EU) No 236/2014.

5.3 Implementation of the budget support component

Not applicable.

5.4 Implementation modalities

5.4.1 Procurement (direct management)

Subject in generic terms, if possible	Type	Indicative number of contracts	Indicative trimester of launch of the procedure
<i>Studies and technical assistance, conferences, seminars; workshops, training sessions, and study visits; visibility, information and publications; audits; evaluations and assessments</i>	services	5	From 4 th quarter 2015

5.5 Scope of geographical eligibility for procurement and grants

The geographical eligibility in terms of place of establishment for participating in procurement and grant award procedures and in terms of origin of supplies purchased as established in the basic act and set out in the relevant contractual documents shall apply.

The Commission's authorising officer responsible may extend the geographical eligibility in accordance with Article 9(2)(b) of Regulation (EU) No 236/2014 on the basis of urgency or of unavailability of products and services in the markets of the countries concerned, or in other duly substantiated cases where the eligibility rules would make the realisation of this action impossible or exceedingly difficult.

5.6 Indicative budget

Designation	EU contribution (EUR)
5.4.1 Procurement (direct management)	500 000
Totals	500 000

5.7 Organisational set-up and responsibilities

Direct management

In order to ensure complementarity and avoid duplication, for those activities under the Support Measures not falling within devolved responsibilities of the Delegation, an ex-ante consultation will be done with relevant Commission Services in order to insure the complementarity with the Joint Africa-EU Strategy Support Mechanism II.

In the same vein, so as to ensure effectiveness and efficiency of this action, the EU Delegation to the AU will keep a permanent consultation with the different beneficiaries in order to select interventions and during the implementation phase (i.e. drawing-up terms of reference for studies, etc).

The contracting authority for the action shall be the EU Delegation to the African Union. The overall responsibility for the implementation of the action lies with the Delegated Authorising Officer.

The activities will be implemented through service contracts. All contracts implementing the action must be awarded and implemented in accordance with the procedures and standard documents laid down and published by the Commission for the implementation of external operations, in force at the time of the launch of the procedure in question.

5.8 Performance monitoring and reporting

The day-to-day technical and financial monitoring of the implementation of this action will be a continuous process and part of the implementing partner's responsibilities. To this aim, the implementing partner shall establish a permanent internal, technical and financial monitoring system for the action and elaborate regular progress reports (not less than annual) and final reports. Every report shall provide an accurate account of implementation of the action, difficulties encountered, changes introduced, as well as the degree of achievement of its results (outputs and direct outcomes) as measured by corresponding indicators, using as reference the logframe matrix. The report shall be laid out in such a way as to allow monitoring of the means envisaged and employed and of the budget details for the action. The final report, narrative and financial, will cover the entire period of the action implementation.

The Commission may undertake additional project monitoring visits both through its own staff and through independent consultants recruited directly by the Commission for independent monitoring reviews (or recruited by the responsible agent contracted by the Commission for implementing such reviews).

5.9 Evaluation

Having regard to the nature of the action, no evaluation (mid-term/final/ex-post) will be carried out for this action. The Commission may, during implementation, decide to undertake such an evaluation for duly justified reasons either on its own decision or on the initiative of the partner.

The evaluation reports shall be shared with the partner organisation and other key stakeholders. The implementing partner and the Commission shall analyse the conclusions and recommendations of the evaluations and, where appropriate, in agreement with the partner country, jointly decide on the follow-up actions to be taken and any adjustments necessary, including, if indicated, the reorientation of the project.

The financing of the evaluation shall be covered by another measure constituting a financing decision.

5.10 Audit

Without prejudice to the obligations applicable to contracts concluded for the implementation of this action, the Commission may, on the basis of a risk assessment, contract independent audits or expenditure verification assignments for one or several contracts or agreements.

The financing of the audit shall be covered by another measure constituting a financing decision.

5.11 Communication and visibility

Communication and visibility of the EU is a legal obligation for all external actions funded by the EU.

This action shall contain communication and visibility measures which shall be based on a specific Communication and Visibility Plan of the Action, to be elaborated at the start of implementation and supported with the budget indicated in section 5.6 above.

In terms of legal obligations on communication and visibility, the measures shall be implemented by the Commission, the partner country, contractors, grant beneficiaries and/or entrusted entities. Appropriate contractual obligations shall be included in, respectively, the financing agreement, procurement and grant contracts, and delegation agreements.

The Communication and Visibility Manual for European Union External Action shall be used to establish the Communication and Visibility Plan of the Action and the appropriate contractual obligations.

APPENDIX - INDICATIVE LOGFRAME MATRIX

The activities, the expected outputs and all the indicators, targets and baselines included in the logframe matrix are indicative and may be updated during the implementation of the action without an amendment to the financing decision. The indicative logframe matrix will evolve during the lifetime of the action: new lines will be added for listing the activities as well as new columns for intermediary targets (milestones) when it is relevant and for reporting purpose on the achievement of results as measured by indicators.

	Intervention logic	Indicators	Sources and means of verification	Assumptions
Overall objective: Impact	To contribute to the implementation of the Pan-African Programme by providing support to actions managed by the EU Delegation to the AU			Smooth implementation of the Pan-African Programme Devolution done to the EU Delegation to the AU
Specific objective(s): Outcome(s)	To cover costs linked with the identification, formulation, implementation and follow up of actions devolved to the EU Delegation to the AU, which are not necessarily covered by others sources.		Contracts and payments realised by the EU Delegation to the AU	Smooth implementation of the Pan-African Programme Devolution done to the EU Delegation to the AU
Outputs	Increased knowledge management in the programmes managed by the EU Delegation to the AU	Studies and technical assistance	Contracts and payments realised by the EU Delegation to the AU	Smooth cooperation between the EU Delegation to the AU and its partners (i.e. AUC)
	Increased awareness of Joint Africa EU Strategy and of the programmes implemented by the EU Delegation to the AU and access to the African governance architecture and human rights system by the different stakeholders increased	Visibility, information and communication activities	Contracts and payments realised by the EU Delegation to the AU	Smooth cooperation between the EU Delegation to the AU and its partners (i.e. AUC)
	Increased sound financial and result based management in the programmes managed by the EU Delegation to the AU	Audits; Evaluations; Assessments	Contracts and payments realised by the EU Delegation to the AU	Smooth cooperation between the EU Delegation to the AU and its partners (i.e. AUC)